

Questionnaire
Regarding the environmental and social impact of export

Annex to the Application for _____no. _____dated _____

PART I

EXPORTER	
IMPORTER	
Other export credit agencies (ECA) providing export support	YES <input type="checkbox"/> NO <input type="checkbox"/> If yes, please specify:
PROJECT INFORMATION	
Name	
Sector	
Object	
Amount to be insured/guaranteed/financed	
a. In contract currency	
b. Converted into SDR at National Bank of Romania rate of exchange on the day of the <i>Application</i> (www.bnr.ro)	
Execution term	
Final destination of exported goods is the same with project location	YES <input type="checkbox"/> NO <input type="checkbox"/>
LOCATION	
The project is located in sensitive areas such as:	If YES, please specify the respective location in the list below (name, location, type of area, distance to the project location (please attach a map)).
a. Rain forests	YES <input type="checkbox"/> NO <input type="checkbox"/>
b. Coral reefs and mangrove forests	YES <input type="checkbox"/> NO <input type="checkbox"/>
c. UNESCO biosphere reserves and/or their surroundings	YES <input type="checkbox"/> NO <input type="checkbox"/>
d. Protected areas with the status of national parks, nature reserves and/or their surroundings	YES <input type="checkbox"/> NO <input type="checkbox"/>
e. Sites of the Natura 2000 European network	YES <input type="checkbox"/> NO <input type="checkbox"/>
f. Sites under the protection of the Ramsar 1971 Convention	YES <input type="checkbox"/> NO <input type="checkbox"/>
g. Areas of particular significance for biodiversity conservation and species protection	YES <input type="checkbox"/> NO <input type="checkbox"/>
h. Land and objects on the UNESCO and world cultural and natural heritage list and/or their surroundings	YES <input type="checkbox"/> NO <input type="checkbox"/>

i. Areas of particular significance to the local community for historical, ethnic and religious reasons etc.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
The project is located at a certain distance from the sensitive areas so that there is a probability of significant influence on the area.	YES <input type="checkbox"/>	NO <input type="checkbox"/>
There is a possibility that the project has a significant impact in terms of human rights in the host country? <i>E.g Children labor, forced labor, human trafficking, massive relocation of populations, indigenous population land destruction, conflict areas, post conflict areas</i>	YES <input type="checkbox"/>	NO <input type="checkbox"/>

PART II

To be filled in when:

i. The amount to be insured is equal to or above SDR 10 million; or

ii. The project is located in or near sensitive areas, regardless of project value.

iii. Projects or current works that may have a significant negative impact on human rights (regardless of project value).

- Please describe the object of the export contract: size, productivity, and quantity of products, raw materials and resources, such as necessary water volumes, wastewater disposal, emissions etc., and necessary technology.

- Documents available for the object of the contract:

- Authorizations/licenses/permits required/obtained according to local legislation and relevant regulations in the host country

YES ☐ NO ☐

If Yes, please specify:

- The project is new

YES ☐ NO ☐

- The project is an extension of an existing facility

- Extension (superstructure, rebuilding) ☐
- Modernization ☐
- Technical change ☐
- Change of products range ☐
- Business development ☐
- Other ☐

- The result of extension/modernization is:

- Increasing the negative impact on environment ☐
- Decreasing the negative impact on environment ☐
- Improving the environment ☐
- Increasing energy consumption / raw materials use ☐

- Decreasing energy consumption / raw materials use ☐
- No variation in environmental impact ☐
- No relevant information available on the matter ☐

In the situation when following the implementation of the project there is an improvement of environment conditions, please describe it.

7. The export contract is part of a larger project YES ☐ NO ☐

If Yes, please specify the role in the project:

- General contractor ☐
- Subcontractor ☐
- Engineering ☐
- Other. Please specify _____

In case of Subcontractors, please indicate the General Contractor

PROJECT CLASSIFICATION in terms of environmental and social impact

Category A¹ <i>Projects that may have potentially negative environmental and/or social impact, irreversible or unprecedented, that may affect areas broader than the site subject to physical works/export.</i>	YES <input type="checkbox"/> NO <input type="checkbox"/>
Category B² <i>Projects that may have potentially negative environmental and/or social impact less adverse than those of Category A projects, affecting areas broader than the site subject to physical works/export, with rarely irreversible effects, and mitigation measures are more readily available.</i>	YES <input type="checkbox"/> NO <input type="checkbox"/>
Category C <i>Projects that have minimal or no potentially negative environmental and/or social impact.</i>	YES <input type="checkbox"/> NO <input type="checkbox"/>

8. a) In the situation when the project can be classified in Category A, please attach a copy of the ESIA Report⁴ or any other independent report that assesses the environmental and social impact of the project in the host country.

b) According to the Report:

b1. The project complies with the environmental standards in the host country

¹ Sample list of projects classified by Exim Banca Romaneasca in Category A is Annex no.1.1 of the Environmental and Social Impact Policy for Officially Supported Export Credits (export credits with a tenor longer than 2 years posted on www.eximbank.ro).

² Sample list of projects classified by Exim Banca Romaneasca in Category B is Annex no.1.1 of the Environmental and Social Impact Policy for Officially Supported Export Credits (export credits with a tenor longer than 2 years posted on www.eximbank.ro).

YES ☐ NO ☐

b2. Estimated negative environmental and social impact

- Enforced population resettlement, acquisition of land or expropriation ☐
- Risk of increased unemployment ☐
- Slavery or forced labor ☐
- Child labor or employment of persons under the age of 18 ☐
- Health and safety effects ☐
- Large-scale labor force inflows ☐
- Negative impacts on cultural heritage ☐
- No negative impact on human right ☐

b3. Local population consultations

- Already undertaken ☐
- Not necessary ☐
- Exporter is involved in consultations ☐
- NGOs, environmental organizations present ☐

Please describe :

- The ways the consultations with local population were held (announcements, meetings, consultations)
- Who is responsible for public consultations
- community's perception regarding the project/results of consultations
- NGO opinions
- International interest in the project, i.e. from NGOs, citizens from neighboring countries
- Cross-border impact of the project
- Other relevant aspects following consultations

C) Other information

Is there a grievance mechanism in place ?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
<ul style="list-style-type: none"> - For community - For employees 	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Are there any ESG reports available from external consultants?	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Is there a designated <i>whistleblower</i> for the project ?	YES <input type="checkbox"/>	NO <input type="checkbox"/>

Authorized person to offer additional information _____, e-mail _____
phone.: _____

Website with information on the project (if the case) _____

PART III ACKNOWLEDGEMENTS

The authorized representatives of _____, we hereby declare and acknowledge that:

- a) The information and the documents provided are accurate, true and real; in this respect, we hereby acknowledge that we are aware of the legal consequences of art.326 of Romanian Criminal Code regarding false acknowledgements.
- b) We hereby acknowledge and agree to comply with the provisions of *Environmental and Social Policy for Officially Supported Export Credits* of Exim Banca Romaneasca NCS.
- c) We hereby acknowledge that on the basis of the environmental and social impact information provided in this Questionnaire Exim Banca Romaneasca NCS will classify the project in an environmental and social impact category.
- d) We hereby declare and agree to comply with all regulations in the host country, obtain all permits and authorizations in the host country and submit them to Exim Banca Romaneasca NCS not later than the date of the entry into force of Exim Banca Romaneasca NCS official support.
- e) We hereby declare and agree to submit to Exim Banca Romaneasca NCS, at least twice a year, the monitoring report, annex to the policy / contract.
- f) We hereby acknowledge and agree to submit to Exim Banca Romaneasca NCS independent experts reports/ESIA reports in the situation Exim Banca Romaneasca NCS classifies the project in Category A or B;
- g) We hereby declare and agree that Exim Banca Romaneasca NCS is entitled to suspend/ cease its official export support in case any adverse environmental or social impact occurs.
- h) We hereby acknowledge and agree that in case Exim Banca Romaneasca NCS classifies the project in Category A, the bank will publish on www.eximbank.ro, prior to approval, the project information (project name, location, project description, details regarding sources of additional information on the project, the environmental and social impact assessment, other relevant information).
- i) We hereby acknowledge and agree that Exim Banca Romaneasca publishes in its Annual Reports the aggregated information on projects classified in Category A and B that benefit of Exim Banca Romaneasca support on behalf and for the account of the Romanian State.

(name, function)

(name, function)

(signature, stamp)

(signature)