

## PROVIDER QUALIFICATION CRITERIA

### TREASURY SYSTEM PROCUREMENT AND IMPLEMENTATION

**Banca de Export - Import a României – EximBank S.A.** is interested in collaborating with expert companies specialized in IT banking solutions in order to implement an integrated treasury system for the optimum and effective:

- operational and risk management of the bank's treasury activities, in relation to operations on the foreign exchange market, the money market, financial derivatives;
- integration of this system within the bank system and the trading platforms.

Companies that are interested in collaborating with EximBank for this project are expected to submit at the EximBank Registry on 6A Barbu Delavrancea str., sector 1, Bucharest, no later than **March 18, 2015, 16:00**, the following mandatory documents for qualification in the financial tender submission stage:

1. **Form no. 1** – which shall include information about the applicant/tenderer with respect to non-application of certain situations.  
*Note: Minimum qualification level: If any of the situations referred to applies, the tenderer/applicant shall be disqualified.*
2. **Form no. 2** – which shall include general information about the applicant/tenderer and the turnover for the last 3 years (2012, 2013, 2014)  
*Note: Minimum qualification level: the average of the tenderer's turnover for the last three years (2012 – 30.12.2012; 2013 – 30.12.2013; 2014 - 30.06.2014) must be equal to or higher than RON 100,000,000 or the EUR equivalent.*
3. For Romanian legal entities – **Confirmation of Company Details (Certificat Constatator) issued by the Trade Register Office**, not older than 30 days– *original or legible copy.*
4. For foreign legal entities - **Tax Registration Certificate** or any document proving a form of registration of the tenderer - *translated.*
5. **Form no. 3 - together with the related annex** - the list of the 3 (three) main treasury solution implemented by the applicant/tenderer, containing the beneficiary banks, the implementation deadline, the implemented modules and interfaces, as well as a list of the main implementations in Romania.  
*Note: Minimum qualification level: at least one treasury solution contract provided/implemented in Romania.*
6. **Certifications regarding quality management assurance standards:** documents issued by accredited bodies, attesting the compliance with quality assurance standards, namely **ISO 9001 Certificate** regarding quality management or an equivalent - legible copy.

7. **Letter of Good Standing** – the applicant/tenderer shall submit a Letter of Good Standing issued by a bank operating in Europe/Romania.
8. **Recommendation letters from beneficiaries** - at least 3 (three) recommendation letters signed by banks operating in Europe/Romania, which recommend the tenderer's IT solution, as well as the quality of the implementation of the tendered solution, or list of reference call issued by the tenderer - at least 3 (three) beneficiaries operating in Europe/Romania, which recommend the tenderer's IT solution, as well as the quality of the implementation of the tendered solution, with full contact information: name, title, phone, e-mail address.
9. **Form no. 4** – the applicant/tenderer shall fill in the Statement regarding the confidentiality policy and the policy regarding conflicts of interest.

The following requirements are mandatory:

1. Qualification documents shall be submitted in a sealed envelope.
2. The envelope shall have a label identifying the project "**TREASURY SYSTEM PROCUREMENT AND IMPLEMENTATION**" and **the tenderer's name**.
3. The envelope shall contain all the supporting documents regarding the compliance with the mandatory qualification requirements.

Upon submission of the qualification documents, a registration number and the time for submission shall be requested from the EximBank Registry. Unregistered tenders are invalid.

**FORM no. 1**

**APPLICANT/TENDERER**

**(business name/name)**

STATEMENT

regarding the non-application of the situations below:

I, the undersigned....., as tenderer/applicant/competitor in the provider selection procedure for the procurement and implementation of a treasury system of ..... (date), organized by **Banca de Export - Import a României – EximBank S.A.** hereby declare that:

- a) I did not go into bankruptcy following a judgment ruled by of the insolvency judge;
- b) I fulfilled my obligations regarding taxes, duties and social security contributions to the budgets that make up the general consolidated budget, in accordance with applicable legal provisions;
- c) I have not been convicted, in the last 5 years, by means of a final court judgment, for an offence related to professional ethics or for professional negligence;
- d) in the last 2 years, I have adequately fulfilled my contractual obligations and have not committed any offence that led to or is likely to lead to serious damages to contract beneficiaries;
- e) I do not submit false information and I do not refuse to submit the information requested by the contracting authority in order to prove the fulfillment of qualification and selection criteria.

I, the undersigned, declare that the information provided is complete and accurate in every detail and I understand that the contracting authority is entitled to request, in order to verify and confirm the statements, any supporting documents we may have available.

I understand that, if this statement is not true to the facts, I am liable for breaching the provisions of the criminal legislation regarding false statements.

Applicant/tenderer,  
\_\_\_\_\_

**FORM no. 2**

**APPLICANT/TENDERER**

---

**(business name/name)**

GENERAL INFORMATION

1. Business name/name:
2. Tax identification number:
3. Address of the head office:
4. Telephone:  
Fax:  
E-mail:
5. Certificate of registration:
6. Scope of activity, per fields of activity:
7. Offices of local subsidiaries/branches, where applicable:
8. Total number of employees:
9. Basic activities of the company and fields of activity covered:
10. Number of persons allocated by the applicant/tenderer for the development of proposed treasury solution:
11. Main market for business:

12. Turnover for the last 3 years:

Turnover Year	Date	(RON/EUR)
1. 2012	as of December 31	
2. 2013	as of December 31	
3. 2014	as of June 30	
Annual Average:		

Applicant/tenderer,

---

**APPLICANT/TENDERER**

\_\_\_\_\_  
**(business name/name)**

STATEMENT ON THE LIST OF MAIN SYSTEM IMPLEMENTATIONS CARRIED OUT

1. I, the undersigned, authorized representative of....., .....  
(business name/name and seat/address of the applicant/tenderer) declare, on my own responsibility, under the penalties applied to false statements, that the data stated in the attached table are real.
  
2. I, the undersigned, declare that the information provided is complete and accurate in every detail and I understand that the contracting authority is entitled to request, in order to verify and confirm the declarations, financial statements and any documents accompanying the tender, any additional information for the purposes of verifying the data in this statement.
  
3. I, the undersigned, hereby authorize any institution, trading company, bank and other legal persons to provide information to the authorized representatives of the contracting authority..... (name and address of the contracting authority) as regards any technical and financial matters concerning our activity.
  
4. This statement is valid until .....

Applicant/tenderer,

\_\_\_\_\_

ANNEX TO FORM 3

1. THE LIST OF THE MAIN 3 (THREE) TREASURY SYSTEM IMPLEMENTATIONS CARRIED OUT BY THE TENDERER/APPLICANT

Ref. no.	Subject-matter of the contract*)	Name of the beneficiary	Provider's capacity**)	Percentage completed by the provider (%)	Implementation deadline***)
	1	2	3	4	5
1					
2					
3					

\*) State all implemented modules and interfaces.

\*\*\*) State the capacity in which the provider participated in the performance of the contract, which can be: sole contractor or leading contractor (partnership leader); partner contractor; subcontractor.

\*\*\*) State the contract commencement and completion dates.

2. THE LIST OF MAIN TREASURY SYSTEM IMPLEMENTATIONS CARRIED OUT BY THE TENDERER/APPLICANT IN ROMANIA

Ref. no.	Subject-matter of the contract*)	Name of the beneficiary	Provider's capacity**)	Percentage completed by the provider (%)	Implementation deadline***)
	1	2	3	4	5
1					
2					
3					

\*) State all implemented modules and interfaces.

\*\*\*) State the capacity in which the provider participated in the performance of the contract, which can be: sole contractor or leading contractor (partnership leader); partner contractor; subcontractor.

\*\*\*) State the contract commencement and completion dates.

**APPLICANT/TENDERER**

\_\_\_\_\_  
**(business name/name)**

STATEMENT  
on confidentiality and avoidance of conflicts of interest

1. I, the undersigned....., as ..... (*tenderer/ applicant / associated tenderer / subcontractor*), of....., declare, on my own responsibility, under the penalties applied to false statements, the following:

- The staff involved in the tender shall undertake to strictly keep the confidentiality of the written and/or verbal information which they may have access to during and after the selection of an end provider, for a period of 5 years. The reproduction or the disclosure to third parties of the tender specifications is prohibited and it shall be punished according to the legal regulations in force.
- No members of the board of directors/management or supervisory body and/or no shareholders or partners are the husband/wife, relative or affine up to and including the fourth degree of the persons holding decision-making positions within Eximbank S.A.
- I am not involved in trading relations with persons holding decision-making positions within Eximbank S.A.

2. I, the undersigned....., declare that I shall inform the Beneficiary of any changes of this statement occurring at any time during the procurement procedure or, if we are successful, during the procurement contract performance.

3. Furthermore, I declare that the provided information is complete and accurate in every detail and I understand that the Beneficiary is entitled to request any additional information, In order to verify and confirm the statements and any documents accompanying the tender.

Applicant/Tenderer  
\_\_\_\_\_